



## Client Services contact details

**Phone**

+61 3 9691 6191

**Email**

invest@k2am.com.au

**Website**

www.k2am.com

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## Appoint or change a financial adviser

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Use this form if you are an existing investor and wish to appoint or change your financial adviser.

Please complete all sections in block capitals and using a black pen. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

### 1. Instructions if you are appointing a financial adviser.

If you wish to appoint a financial adviser, the following needs to be completed:

- enter your account number and account name as it appears on your latest periodic statement or transaction statement
- complete section 2 'Appoint a financial adviser'
- complete section 4 'Appointment of a financial adviser'
- sign the form as per the 'Signing instructions' in section 5.

### 2. Instructions if you are changing your financial adviser.

If you want to change your financial adviser, the following needs to be completed:

- enter your account number and account name as it appears on the latest periodic statement or transaction statement
- complete section 3 'Change a financial adviser'
- complete section 4 'Appointment of a financial adviser'
- sign the form as per the 'Signing instructions' in section 5.

### 3. Send your documents to us.

You can return your form by post, fax or email according to the details below.

Send by Post: K2 Asset Management  
GPO Box 804  
Melbourne VIC 3001  
Australia

Fax forms to: +61 3 8672 7663

Scan and email to: k2am\_transactions@onevue.com.au

Please include your account number in the subject line of your email

#### Legal notices

K2 Asset Management Ltd AFSL No. 244393 is the issuer of units in the K2 Asian Absolute Return Fund, K2 Australian Absolute Return Fund, K2 Select International Absolute Return Fund, K2 Australian Small Cap Fund and K2 Global High Alpha Fund (together Funds).

K2 Asset Management Ltd is committed to ensuring the confidentiality and security of your Personal Information. We handle your Personal Information in accordance with the Privacy Act 1988 and Privacy Policy, which can be accessed on our website [www.k2am.com](http://www.k2am.com)



# Financial adviser notification form

Suburb	State	Postcode
<input type="text"/>	<input type="text"/>	<input type="text"/>

Country

**Postal address (if different to residential address).** A PO Box/RMB/Locked Bag is acceptable.

Property name/building name (if applicable)

Unit	Street number	Street name
<input type="text"/>	<input type="text"/>	<input type="text"/>

Suburb	State	Postcode
<input type="text"/>	<input type="text"/>	<input type="text"/>

Country

## Contact details

Business number (include country and area code)

Mobile number (include country code)



# Financial adviser notification form

## 5. Signing instructions

By completing and signing this form, you:

- authorise us to act according with the instructions on this form
- acknowledge that the instructions on this form supersede, and have priority over, all previous instructions received by us, and
- agree to indemnify us from and against all losses, costs, expenses, claims, actions or proceedings brought against us in connection with following your instructions on this form.

### Who needs to sign this form

**Individual** — where the investment is in one name, the account holder must sign.

**Joint Holding** — where the investment is in more than one name, all of the account holders must sign.

**Companies** — where the company has a sole director who is also the sole company secretary, this form must be signed by that person. If the company (pursuant to section 204A of the Corporations Act 2001) does not have a company secretary, a sole director can also sign alone. Otherwise this form must be signed by a director jointly with either another director or a company secretary. Please indicate the capacity in which the form is signed.

**Trust** — the trustee(s) must sign this form. Trustee(s) signing on behalf of the trust confirm that the trustee(s) is/are acting in accordance with such designated powers and authority under the trust deed.

**Power of Attorney** — if you have not already lodged the Power of Attorney with us, please attach a certified copy of the Power of Attorney. I/We attest that the Power of Attorney has not been rescinded or revoked and that the Donor is still living.

Signature of investor 1, director or authorised signatory

Please print full name

Date

D	D	M	M	Y	Y	Y	Y
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Company officer (please indicate company capacity)

- Director
- Sole director and company secretary
- Authorised signatory

Signature of investor 2, director/company secretary or authorised signatory

Please print full name

Date

D	D	M	M	Y	Y	Y	Y
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Company officer (please indicate company capacity)

- Director
- Company secretary
- Authorised signatory

