



Client Services contact details

Phone

+61 3 9691 6191

Email

invest@k2am.com.au

Website

www.k2am.com.au

Switch Form

K2 Australian Absolute Return Fund

K2 Global High Alpha Fund

Use this form if you are an existing investor and wish to switch your investment.

1. Read and ensure you understand the Product Disclosure Statement (PDS) for the fund you are switching into.

The PDS is available on our website www.k2am.com.au or from your financial adviser.

2. Please complete all relevant sections in block letters and using a black pen. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

Please double check that you have done the following:

- entered your account number and account name as they appear on the latest periodic or transaction statements
- entered your switching details, the number of units or the AUD value you wish to switch
- ticked the 'Standing instruction' box (if applicable) in section 3
- signed the form as per the 'Signing instructions' in section 4.

3. Send your documents to us.

You can return your form by post, fax or email according to the details below.

Send by Post: K2 Asset Management
GPO Box 3993
Sydney NSW 2001
Australia

Fax forms to: +61 2 9252 1987

Scan and email to: k2am@boardroomlimited.com.au

Please include your account number in the subject line of your email

Legal notices

K2 Asset Management Ltd AFSL No. 244393 (**K2**) is the issuer of units in the K2 Australian Absolute Return Fund and K2 Global High Alpha Fund (together, the **Funds**).

K2 is committed to ensuring the confidentiality and security of your Personal Information. We handle your Personal Information in accordance with the Privacy Act 1988 and our Privacy Statement, which can be accessed on our website www.k2am.com.au

1. Investor details

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2. Switch details

Partial switch

Switch from

No. of units

[illegible]

No. of units

	.	
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[illegible]

K2 Australian Absolute Return Fund

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3. Existing instructions

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4. Acknowledgments and signatures

You agree that by signing this form:

- you confirm that you have read and understood the relevant product disclosure statement (s) for the K2 Australian Absolute Return Fund, K2 Global High Alpha Fund dated 1 July 2020, and agree to be bound by such documents
- you have made your own appraisals before investing in the relevant Fund and have not relied upon any statements made by us other than those in the PDS
- you agree to indemnify us against any loss, liability, damage, claim, cost or expense incurred as a result of any information, representation, declaration, statement or acknowledgement or confirmation in this form being untrue or incorrect, or as a result of or in connection with your direct debit request (if applicable)
- if you are investing as a trustee for a trust, you confirm that you are acting within your powers and authority under the trust deed
- you authorise us to do anything on your behalf that is necessary for units to be issued based on this form, including signing or completing any necessary documents
- you acknowledge that we are not responsible for any delays in receipt of this form and that we do not guarantee the Funds' performance or any repayment of capital
- you declare that the information provided is complete and accurate and we are not liable for any loss arising from processing this form as a result of compliance with Australia's anti-money laundering/ counter-terrorism financing laws, and
- if we determine that you are ineligible to hold units in the Fund(s), you appoint us to submit a withdrawal request on your behalf in respect of all your units in the Fund(s).

Signing instructions

Individual — where the investment is in one name, the account holder must sign.

Joint Holding — where the investment is in more than one name, all of the account holders must sign.

Companies — where the company has a sole director who is also the sole company secretary, this form must be signed by that person. If the company (pursuant to section 204A of the Corporations Act 2001) does not have a company secretary, a sole director can also sign alone. Otherwise this form must be signed by a director jointly with either another director or a company secretary. Please indicate the capacity in which the form is signed.

Trust — the trustee(s) must sign this form. Trustee(s) signing on behalf of the trust confirm that the trustee(s) is/are acting in accordance with such designated powers and authority under the trust deed.

Power of Attorney — if you have not already lodged the Power of Attorney with us, please attach a certified copy of the Power of Attorney. I/We attest that the Power of Attorney has not been rescinded or revoked and that the Donor is still living.

Signature of investor 1, director or authorised signatory

Please print full name

Date

D	D	M	M	Y	Y	Y	Y
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Company officer (please indicate company capacity)

- ☐ Director
- ☐ Sole director and company secretary
- ☐ Authorised signatory

Signature of investor 2, director/company secretary or authorised signatory

Please print full name

Date

D	D	M	M	Y	Y	Y	Y
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Company officer (please indicate company capacity)

- ☐ Director
- ☐ Company secretary
- ☐ Authorised signatory