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Identification form - Australian & Foreign companies

K2 Asian Absolute Return Fund

K2 Australian Absolute Return Fund

K2 Select International Absolute Return Fund

Please complete this form if you are a company investing with K2 for the first time or if you are a financial adviser verifying your client. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

Australian public company

- 1. Please complete sections 1 and 5 of this identification form in block letters and using a black pen.
- 2. Include this identification form with your initial application form when you send it to us. You do not need to provide any ID documents.

Australian private company

- 1. Please complete sections 1, 3 and 5 of this identification form in block letters and using a black pen.
- 2. Section 4 must be completed if your company is not regulated and has shareholders who own, directly or indirectly, more than 25% or the company's issued capital. For this purpose, a regulated company is defined as any company that is licensed and subject to oversight by a statutory regulator, such as ASIC, Australian Prudential Regulation Authority (APRA) or Australian Tax Office (ATO).
- 3. Include this identification form with your initial application form when you send it to us. You do not need to provide any ID documents.

Foreign public company

- 1. Please complete sections 2, 5 and 6 of this identification form in block letters and using a black pen.
- 2. Make copies of the relevant ID documents as applicable and arrange for them to be certified. Please refer to the 'Forms' section of our website for more information on getting your documents certified.
- 3. Include this identification form and certified copies of your ID documents with your initial application form when you send it to us.

Foreign private company

- 1. Please complete sections 2, 3, 4, 5, 6 and 7 of this identification form in block letters and using a black pen.
- 2. Make copies of the relevant ID documents and arrange for them to be certified. Please refer to the 'Forms' section of our website for more information on getting your documents certified.
- 3. Include this identification form and certified copies of your ID documents with your initial application form when you send it to us.

Legal notices

K2 Asset Management Ltd AFSL No. 244393 is the issuer of units in the K2 Asian Absolute Return Fund, K2 Australian Absolute Return Fund and K2 Select International Absolute Return Fund (together Funds).

K2 Asset Management Ltd is committed to ensuring the confidentiality and security of your Personal Information. We handle your Personal Information in accordance with the Privacy Act 1988 and Privacy Policy, which can be accessed on our website www.k2am.com.au.

1. Australian company

Company details

Full name of company (as registered by ASIC if incorporated in Australia)

ACN			1									
Registered Property n	l office address. A PO ame/building name (if	Box/RMB/Locked Bag is applicable)	not acceptat	ole. (as reg	istered by <i>i</i>	ASIC if inco	orporated	in Austra	lia)			
Unit	Street number	Street name										
Suburb							State		P	ostcod	e	_
Country												
Country												
Place of b	usiness (if different to	registered office addre	ess)									
Property n	ame/building name (if	applicable)	,							_		
Unit	Street number	Street name								_		_
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Suburb							State		P	ostcod	е	
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Country												
Regulato	ory/listing details											
Regulator												
Licence de	etails											
Name of s	tock exchange						1					

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Tick ✓	
	Savings
	Investment
	Superannuation contributions
	Commission
	Donation/gift
	Inheritance
	Normal course of business
	Asset sale
	Other - write the source and origin of funds below

Please select the source and origin of funds being invested:

2. Foreign company

Company details

Full name of	company													
Country of fo	rmation/incorporation	n/registration												
Registration/	identification number													
Name of fore	ign registration body	(if applicable)												
Registered o	ffice address. If regis	tered as a foreign	compan	y in Austr	alia, ple	ease pro	ovide A	ustralia	an addr	ess. /	A PO E	Box/RM	B/	
	not acceptable. ne/building name (if a	applicable)												
Unit	Street number	Street name												
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Suburb					State	Postcode
Country						
Place of bus Property nar	iness (if different to ne/building name (if	registered office ad applicable)	dress)			
Unit	Street number	Street name				
Suburb					State	Postcode
Country						
Regulator	y/listing details					
Regulator na	ame					
Licence deta	ails					
Name of sto	ck exchange					
Australian lis	sted company name	if majority owned s	ubsidiary of ar	Australian listed	l company	

3. Director details

Please complete if the company is a private Australian or Foreign company. Do not complete for public and listed companies.

How many directors are there?

Provide full name of each director

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Director 1	
Title	Full given names
Surname	
Director 2	
Title	Full given names
Surname	
Director 3	
Title	Full given names
Surname	
Director 4	
Title	Full given names
Surname	
If there are more directo	rs, please provide details on a separate sheet and attach this with your form.

4. Major shareholders details

Please complete for private Australian or Foreign companies that are not regulated companies. Provide details of all shareholders who own, directly or indirectly, more than 25% of the company's issued capital.

Major share	eholder 1									
Title	Full give	en names								
Surname										
Residential ad	ldress. A PO Box/R	MB/Locked Bag is	not accepta	able.						
Property name	e/building name (if a	applicable)								
Unit	Street number	Street name								
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If the company does not have	e a GIIN, please provide its FATCA st	atus.	
If the company is a Financial	Institution section 5 is now complete,	proceed to section 6.	
Non-Financial Public Comp	any (Public companies as per section	n 4 that are not Financial Institutions	as described above)
If the company is a Public Co	mpany, section 5 is now complete, pr	roceed to section 6.	
Non-Financial Proprietary C described above)	company (Proprietary companies as	per section 4 that are not Financial Ir	nstitutions as
tax purposes	ers (as per section 4) US citizens or r xpayer Identification Number (TIN) of	Yes	No sitizen or resident of the
Full given name(s)	Surname	US TIN	

Verification procedure - Foreign companies 6.

Verification of a Foreign company

Tick ✓	Enclose a certified copy of the following current documents.
	The Foreign Company's certificate or registration (or equivalent) issued by the relevant foreign registration/regulatory body indicating all of the following:
	(i) The company's identification/registration number
	(ii) The date it was incorporated or formed, AND
	(iii) The company's registered address.

Note: If the certificate of registration does not indicate any of the above details, enclose certified copies of other documents issued by independent third parties indicating the above details in addition to enclosing the certificate of registration. Documents written in a language other than English must be accompanied by an English translation prepared by an accredited translator.

7. Verification procedure - Financial advisers

Record of verification procedure of a Foreign company

Either:

- a. Attach the certified copies of the ID documents (as required under section 6) used to verify your client (and any required translation); OR
- b. Complete the details below and do not attach copies of the ID documents. By completing this you represent that you have verified your client's company registration, register of members, and each major shareholder's identity according to the verification procedures in section 6.

ID document details	Document 1
Verified from	Original Certified copy
Document name/type	
Document issuer	
Issue date	D D M M Y Y Y
Expiry date	D D M M Y Y Y

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Document number																				
Accredited English translation		N/A	L		Sigl	Sighted														
FATCA	The	The FATCA information is reasonable considering the documentation provided																		
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Document issuer																				
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Expiry date	D	D	Μ	Μ	Y	Y	Y	Y												
Document number																				
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Financial adviser details																				
Date verified	D	D	Μ	Μ	Y	Y	Y	Y												
Authorised Representative Num	ber (if	any)																		
Financial adviser name																				
Phone number			_	-																
Dealer group name																				
AFSL number																				

FATCA

The FATCA information is reasonable considering the documentation provided

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